

UTILITY PATENT APPLICATION TRANSMITTAL

Under Small Entity Status

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

8303/3

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of inventors **Dr. Suhayya Abu-Hakima and Connie P. McFarland**, entitled **Concept-Based Message/Document Viewer for Electronic Communications and Internet Searching**, for an:

☒ Original Patent Application.

☐ Continuing Application (prior application not abandoned):

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ Regular Filing of Provisional of prior application No. _____ filed on _____.

☐ A statement claiming priority under 35 USC § 120 has been added to the specification.

☒ Applicant claims small entity status.

Enclosed are:

☒ Specification; **28 Total Pages (including title page)** ☒ Drawing(s) **8 Total Sheets**.

☒ Oath or Declaration:

☒ A Newly Executed Combined Declaration and Power of Attorney:

☒ Signed. ☐ Unsigned. ☐ Partially Signed.

☐ A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

☐ Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

☐ Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

☐ Power of Attorney.

☒ Return receipt postcard.

☐ Associate Power of Attorney.

☒ A check in the amount of **\$355** for the filing fee.

☐ Preliminary Amendment.

☐ Information Disclosure Statement and Form PTO-1449.

☐ A Certified Copy of Priority Documents (if foreign priority is claimed).

☐ Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.

☒ Other: **Assignment, Assignment Cover Sheet, and check in the amount of \$40 for the recordation fee**

| CLAIMS AS FILED | | | | |
|---|-----------|-----------|---------|-----------|
| FOR | NO. FILED | NO. EXTRA | RATE | FEE |
| Total Claims | 14 - 20 | 0 | \$9.00 | \$ 0.00 |
| Independent Claims | 2 - 3 | 0 | \$40.00 | \$ 0.00 |
| Multiple Dependent Claims (if applicable) | | | | \$ 0.00 |
| Basic Filing Fee | | | | \$ 355.00 |
| Total Filing Fee | | | | \$ 355.00 |

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0930. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR § 1.16.

☐ Any patent application processing fees under 37 CFR § 1.17.

Respectfully submitted,

By:

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Date: July 10, 2001

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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